

Ohio Employment Services:

EMPLOYMENT SERVICES:

The Ohio Department of Job and Family Services offers information regarding employment services at <http://ohiomeansjobs.com/omj/>. You can post your resume, find job openings and access other helpful information to assist you in your job search.

UNEMPLOYMENT COMPENSATION:

The Ohio Department of Job and Family Services also administers the unemployment benefits program. Ohio's citizens and employers may visit www.unemployment.ohio.gov or call toll-free 1-877-644-6562 for unemployment insurance business.

UNPAID WAGES:

If you have not been paid for hours worked, please contact the Department of Commerce's Division of Labor and Worker Safety at www.com.ohio.gov/laws to determine if you have a claim for back wages.

Protect Yourself—Avoid Investment Fraud

DO A BACKGROUND CHECK BEFORE HIRING AN INVESTMENT PROFESSIONAL:

- ▶ To check whether your financial professional is licensed and to obtain background information on this licensee, contact the Ohio Division of Securities and request a “snap-shot” of the person or firm in question. Call the Investor Protection Hotline at (800) 788-1194.
- ▶ To check for a licensed insurance agent, please call the Ohio Department of Insurance at (800) 686-1527 or visit the web site at www.ohioinsurance.gov.
- ▶ For any broker or brokerage firm, use FINRA's BrokerCheck Web site at www.finra.org/brokercheck or call toll-free (800) 289-9999.
- ▶ For an investment adviser, use the SEC's Investment Adviser Public Disclosure Web site at www.adviserinfo.sec.gov or call toll-free (800) SEC-0330.

Remember: Beware of investments that promise too much!

Who We Are:

The **Ohio Department of Commerce's Division of Securities** goal is to protect the public from deceptive practices in connection with the offer, sales and purchase of securities in Ohio while encouraging capital formation of legitimate businesses. The Division is responsible for the regulation of the securities' industry in the state, to protect the financial well being of Ohioans by licensing the financial professionals, registering the investment products and investigating investor complaints. More information can be found at www.com.ohio.gov/secu.



The mission of the **Ohio Department of Insurance** is to provide consumer protection through education and fair but vigilant regulation while promoting a stable and competitive environment for insurers. The Department regulates and licenses nearly 1,700 insurance companies, more than 212,000 agents, and more than 16,100 insurance agencies, and monitors the financial solvency of the insurance industry in Ohio. The Department can be contacted via USPS at: Ohio Department of Insurance will be 50 West Town Street, Third Floor – Suite 300, Columbus, OH 43215.

Ohio

Department of
Insurance

FINRA, the Financial Industry Regulatory Authority, is the largest non-governmental regulator for all securities firms doing business in the United States. Created in 2007 through the consolidation of NASD and NYSE Member Regulation, FINRA is dedicated to investor protection and market integrity through effective and efficient regulation and complementary compliance and technology-based services. FINRA oversees nearly 5,100 brokerage firms, about 174,000 branch offices and more than 672,000 registered securities representatives. For more information, please visit our Web site at www.finra.org.



Investor protection. Market integrity.

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