

***** DRAFT - NOT YET FILED *****

1301:6-3-12

Documents open to inspection.

- (A) For purposes of division (B) of section 1707.12 of the Revised Code, information obtained by the division through any offering materials filed with the division in connection with exempt transactions under divisions (Q) and (W) of section 1707.03 of the Revised Code or through any investigation may be made available by the division for inspection by the "Financial Industry Regulatory Authority."
- (B) For purposes of division (C) of section 1707.12 of the Revised Code, confidential law enforcement investigatory records and trial preparation records of the division or any other law enforcement or administrative agency in the possession of the division may be made available by the division for inspection by the "Financial Industry Regulatory Authority."

Five Year Review (FYR) Dates:

Certification

Date

Promulgated Under:	119.03
Statutory Authority:	1707.20
Rule Amplifies:	1707.12
Prior Effective Dates:	8/31/03