

# Agenda

**8:00 a.m. – 8:30 a.m.**

Registration & Continental Breakfast

**8:30 a.m. – 9:00 a.m.**

Welcome & Opening Remarks

**Jacqueline T. Williams,**

Director, Ohio Department of Commerce

**Benjamin Barros,**

Dean, University of Toledo College of Law

**Andrea Seidt,**

Commissioner, Division of Securities

**9:00 a.m. – 10:15 a.m.**

**Cybersecurity and Risk Management  
in the Financial Services Industry**

*How to avoid a data breach and what to  
do if your firm is hacked.*

**John Kennedy,**

Assistant Vice President,

Nationwide Insurance

**Justin Root,**

Of Counsel, Dickinson Wright, LLC

**John Reed Stark,**

President, John Reed Stark

Consulting, LLC

**10:15 a.m. – 10:30 a.m.**

Networking Break

**10:30 a.m. – 11:45 a.m.**

**Fighting Internet Fraud: Facts, Methods,  
Tools & What's Next**

*An examination of internet fraud and how law  
enforcement is combating this crime.*

**Jake van der Laan,**

Director of Enforcement and Chief

Information Officer, New Brunswick

Financial and Consumer Services

Commission

**Mark Henderson,**

Security Specialist, Online Fraud Detection

and Prevention, Internal Revenue Service

**11:45 a.m. – 1:15 p.m.**

Lunch and Division Updates

**Mark Heuerman,**

Registration Chief Counsel

**Janice Hitzeman,**

Enforcement Chief and Attorney Inspector

**Anne Followell,**

Licensing Chief Counsel

**1:15 p.m. – 1:30 p.m.**

Networking Break

**1:30 p.m. – 2:45 p.m.**

**Social Media & Digital Communications**

*FINRA Regulatory Notice 17-18; Advertising  
Guidelines.*

**Amy Sochard,**

Senior Director, Advertising

Regulation, FINRA

**Erin F. Siegfried,**

Partner, Porter Wright Morris & Arthur, LLP

**2:45 p.m. – 3:00 p.m.**

Networking & Refreshment Break

**3:00 p.m. – 4:15 p.m.**

**Internet Offerings, Crowdfunding,  
Peer-to-Peer Lending & Other Current Topics  
in Securities Offerings**

*A point-counterpoint discussion on the merits of  
deregulation vs. enhanced regulation of  
internet offerings*

**Eric Chaffee,**

Professor of Law, University of

Toledo College of Law

**Joan Heminway,**

Professor of Law, University of Tennessee

College of Law

**Charlie Korsmo,**

Associate Professor of Law, Case Western

Reserve School of Law

**4:15 p.m.**

Conference Concludes

## Registration Form

Early Bird (\$135)

Standard (\$145)

Government (\$100)

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Firm or Agency: \_\_\_\_\_

Street Address: \_\_\_\_\_

Address Line 2: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Please indicate if you have any special dietary needs: \_\_\_\_\_

Please select which Advisory Committee Meeting you plan to attend:

Enforcement

Licensing

Registration/Corporate Finance

**Please cut out form above if you wish to register by mail**

## Continuing Education Credit

This course has been approved by the Supreme  
Court of Ohio Commission on Continuing Legal  
Education for 6 total hours of CLE instruction.

- CFP CE approval pending
- Certified Fraud Examiners & Certified Public  
Accountants CEU eligible

## Parking at Columbus Commons Garage

Self-parking is available at the Columbus  
Commons parking garage for  
approximately \$10 for 12 hours.

The garage has entrances on both Main  
Street (across the street from the  
Westin) and Rich Street between High and  
Third Streets. Be sure to bring your  
parking stub with you to use the automated  
payment machines located in the  
garage lobby areas when you leave  
for the day.

## Registration Information

**Registration Fees:**

**Early Bird Rate:** \$135

register and pay by **October 6**

**Standard Rate:** \$145

register and pay by **October 20**

**Government Rate:** \$100

register and pay by **October 20**

**Register online at**

<http://www.com.state.oh.us/secu>

Make check payable to:

**The University of Toledo**

Mail registration and payment to:  
University of Toledo College of Law  
2801 W. Bancroft St.

MS 507

Toledo, OH 43606

Attention: Business Manager

**Registration will close on**

**Friday, October 20**

# 2017 Ohio Securities Conference

*Technical Innovation & Investor Protection;  
Finding the Right Balance.*

October 27th, 2017  
 Presented by the Ohio Division of Securities and the University of Toledo College of Law

Westin Columbus Hotel  
 310 S High St

**Ohio** | Department of Commerce  
 Division of Securities

 University of Toledo College of Law

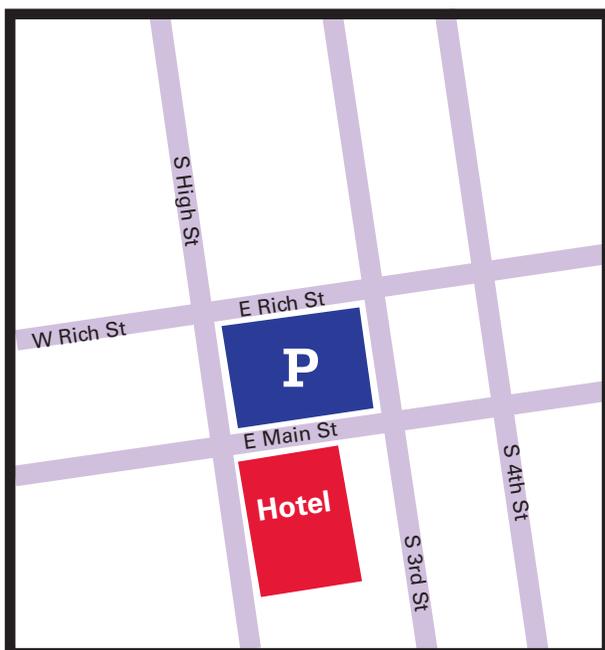


**Ohio Securities Conference 2017**  
 Ohio Department of Commerce  
 Division of Securities  
 77 South High Street, 22nd Floor  
 Columbus, Ohio 43215-6131

## Conference Parking and Directions

The Westin Columbus Valet Parking  
**Daily Rate:** \$15  
**Overnight Parking:** \$26 per day

### Directions to Hotel:



**From the East**  
 Take Interstate 70 West to 4th Street. Turn right immediately onto 4th Street. At the first traffic light, turn left onto Mound Street. Proceed through 2 traffic lights to High Street and turn right. The hotel is 1 block ahead and on the right.

**From the North**  
 Take Interstate 71 South to Interstate 670 West. Proceed on I-670 West to 3rd Street and pass through 9 traffic lights. Turn right onto Mound Street. Continue 1 block to High Street. Turn right onto High Street; the hotel is 1 block north on the right.

**From the West**  
 Take Interstate 70 East to the Front Street/High Street Exit. At the second traffic light, turn left onto High Street. The hotel is 3 blocks ahead on the right.

**From the South**  
 Take Interstate 71 North to Interstate 70 East. Proceed on I-70 and take the Front/High Street Exit. Continue to the second light and turn left onto High Street. Continue 3 blocks north; our hotel is on the right.