

Agenda

8:00 a.m. – 8:30 a.m.

Registration & Continental Breakfast

8:30 a.m. – 9:00 a.m.

Welcome & Opening Remarks

Jacqueline T. Williams,
Director, Ohio Department of Commerce

Benjamin Barros,
Dean, University of Toledo Law School

Andrea Seidt,
Commissioner, Division of Securities

9:00 a.m. – 10:15 a.m.

**Cybersecurity and Risk Management
in the Financial Services Industry**

*How to avoid a data breach and what to
do if your firm is hacked.*

John Kennedy,
Assistant Vice President,
Nationwide Insurance

Justin Root,
Of Counsel, Dickinson Wright, LLC

John Reed Stark,
President, John Reed Stark
Consulting, LLC

10:15 a.m. – 10:30 a.m.

Networking Break

10:30 a.m. – 11:45 a.m.

**Fighting Internet Fraud:
Facts, Methods, Tools & What's Next**

*An examination of internet fraud and how law
enforcement is combating this crime.*

Jake van der Laan,
Director of Enforcement and Chief
Information Officer, New Brunswick
Financial and Consumer Services
Commission

Mark Henderson,
Security Specialist, Online Fraud Detection
and Prevention, Internal Revenue Service

11:45 a.m. – 1:15 p.m.

Lunch and Division Updates

Mark Heuerman,
Registration Chief Counsel

Janice Hitzeman,
Enforcement Chief and Attorney Inspector

Anne Followell,
Licensing Chief Counsel

1:15 p.m. – 1:30 p.m.

Networking Break

1:30 p.m. – 2:45 p.m.

Social Media & Digital Communications

*FINRA Regulatory Notice 17-18; Advertising
Guidelines.*

Amy Sochard,
Senior Director, Advertising
Regulation, FINRA

Erin F. Siegfried,
Partner, Porter Wright Morris & Arthur, LLP

2:45 p.m. – 3:00 p.m.

Networking & Refreshment Break

3:00 p.m. – 4:15 p.m.

**Internet Offerings, Crowdfunding,
Peer-to-Peer Lending & Other Current Topics
in Securities Offerings**

*A Point-Counterpoint discussion on the merits of
deregulation vs. enhanced regulation of
internet offerings*

Eric Chaffee,
Professor of Law, University of
Toledo College of Law

Joan Hemingway,
Professor of Law, University of Tennessee
College of Law

Charlie Korsmo,
Associate Professor of Law, Case Western
Reserve School of Law

4:15 p.m.

Conference Concludes

Registration Form

Early Bird (\$135) Standard (\$145) Government (\$100)

Name: _____

Title: _____

Firm or Agency: _____

Street Address: _____

Address Line 2: _____

City: _____ State: _____ Zip Code: _____

Phone: _____ Email: _____

Please indicate if you have any special dietary needs: _____

Please select which Advisory Committee Meeting you plan to attend:

Enforcement Licensing Registration/Corporate Finance

Please cut out form above if you wish to register by mail

Continuing Education Credit

This course has been approved by the Supreme
Court of Ohio Commission on Continuing Legal
Education for 6 total hours of CLE instruction.

- CFP CE approval pending
- Certified Fraud Examiners & Certified Public
Accountants CEU eligible

Parking at Columbus Commons Garage

Self-parking is available at the Columbus
Commons parking garage for
approximately \$10 for 12 hours.

The garage has entrances on both Main
Street (across the street from the
Westin) and Rich Street between High and
Third Streets. Be sure to bring your
parking stub with you to use the automated
payment machines located in the
garage lobby areas when you leave
for the day.

Registration Information

Registration Fees:

Early Bird Rate: \$135
register and pay by **October 6**

Standard Rate: \$145
register and pay by **October 20**

Government Rate: \$100
register and pay by **October 20**

Register online at

<http://utole.do/ohiosecurities>

Make check payable to:

The University of Toledo

Mail registration and payment to:
University of Toledo College of Law
2801 W. Bancroft St.

MS 507
Toledo, OH 43606
Attention: Business Manager

**Registration will close on
Friday, October 20**

Registration Form Cut-Out

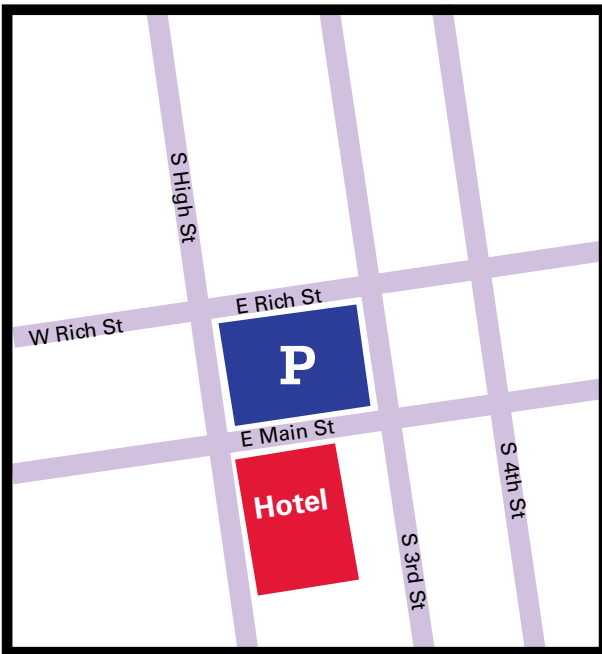
Conference Parking and Directions

The Westin Columbus Valet Parking

Daily Rate: \$15

Overnight Parking: \$26 per day

Directions to Hotel:



From the East

Take Interstate 70 West to 4th Street. Turn right immediately onto 4th Street. At the first traffic light, turn left onto Mound Street. Proceed through 2 traffic lights to High Street and turn right. The hotel is 1 block ahead and on the right.

From the North

Take Interstate 71 South to Interstate 670 West. Proceed on I-670 West to 3rd Street and pass through 9 traffic lights. Turn right onto Mound Street. Continue 1 block to High Street. Turn right onto High Street; the hotel is 1 block north on the right.

From the West

Take Interstate 70 East to the Front Street/High Street Exit. At the second traffic light, turn left onto High Street. The hotel is 3 blocks ahead on the right.

From the South

Take Interstate 71 North to Interstate 70 East. Proceed on I-70 and take the Front/High Street Exit. Continue to the second light and turn left onto High Street. Continue 3 blocks north; our hotel is on the right.

Ohio Securities Conference 2017

Ohio Department of Commerce

Division of Securities

77 South High Street, 22nd Floor

Columbus, Ohio 43215-6131

2017 Ohio Securities Conference

*Technical Innovation & Investor Protection;
Finding the Right Balance.*

October 27th, 2017

Presented by the Ohio Division of Securities and the University of Toledo College of Law

Westin Columbus Hotel
310 S High St

Ohio

Department of Commerce

Division of Securities



University of Toledo
College of Law

